

CHIEF FINANCIAL OFFICER (CFO) | CONTROLLER | VP FINANCE

Cross-Border Finance Leadership | Investment Management | Family Offices

Seasoned CFO and finance executive with 25+ years leading finance operations at Vanguard, BlackRock, Franklin Templeton, and Transamerica across investment management and financial services. Deep expertise in financial reporting, FP&A, ERP implementation, and cross-border finance operations. Proven leader in building finance teams, strengthening controls, and partnering with executive leadership through growth, M&A, and international expansion. Dual U.S./EU citizen fluent in English and Portuguese.

SELECT LEADERSHIP ACHIEVEMENTS

International Expansion & Market Entry

- **Established Luxembourg SICAV investment company** for \$60B asset management firm, serving high-net-worth investors and family offices; managed \$2B in multi-generational assets across U.S. and European markets; secured CSSF regulatory approval and coordinated 12-month launch
- **Redesigned financial reporting platform achieving Morningstar "A" rating**; converted from spreadsheets to database reporting environment, improving efficiency by 50% and audit accuracy by 95%, saving \$500K in first year
- **Negotiated counterparty service agreements** (custodians, auditors, legal counsel, distributors) leading to \$8M in annual revenue; authored business plan supporting €50M capital investment

Operational Excellence & Team Building

- **Built fund accounting and financial reporting function** for mutual fund complex, scaling operations from \$5B to \$35B in AUM over 7-year period
 - **Reduced monthly NAV calculation errors** from 12 to zero through dual-verification procedures and automated pricing controls
 - Led team of 18 through consecutive years of **clean Big 4 audits with zero compliance issues**
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PROFESSIONAL EXPERIENCE

ApexVista Asset Management, LLC – Tampa, FL

Founder & Managing Principal | February 2024 – Present

Founded and operate boutique real estate investment firm specializing in value-add residential acquisitions, repositioning, and disposition.

- **Generated \$825K in revenue** in 18 months; achieved 48-hour sale on superior market positioning
 - Manage full investment lifecycle including underwriting, acquisition financing, construction budgeting, vendor management, and exit strategy
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Crosstree Capital Partners – Tampa, FL

Vice President of Finance & Chief Compliance Officer (Board Member) | December 2023 – July 2024

Recruited to build CFO-level financial infrastructure for private capital advisory firm. Position eliminated during company-wide RIF following significant revenue underperformance against projections. Served dual role as CFO-level executive and board member, providing operational leadership and governance oversight. Board responsibilities included quarterly presentations on financial performance, risk assessment, and strategic recommendations to fellow directors.

- **Built finance organization from ground up**, establishing FP&A function, financial reporting framework, broker-dealer regulatory reporting, and formalized internal controls previously absent
- **Implemented cloud-based financial reporting platform**, creating real-time executive dashboards and reducing month-end reporting cycle from 15 to 9 days

- **Managed cross-functional operations** including accounting team (supervised Controller), IT network administration, and regulatory compliance
- **Optimized cash positioning and treasury operations for \$12M operating budget**, maintaining liquidity requirements while reducing idle cash by 18%; **managed banking relationships**

Management Consulting – Tampa, FL & Remote**Controller & Finance Transformation Consultant** | 2014 – 2023

Delivered financial operations improvements, ERP implementations, and internal controls frameworks for Fortune 50-1000 companies across financial services, technology, pharmaceutical, manufacturing, and healthcare. Conducted engagements in 15+ U.S. states and internationally (Netherlands, Spain, Brazil).

New Era Technologies | SaaS Healthcare Platform (\$1B+ Revenue)

- **Served as interim Controller** for 14 months during significant senior leadership transition
- **Led ERP transformation** from Great Plains to MS Dynamics 365 across 7 subsidiary entities

Stolt-Nielsen Transportation Group | Global Bulk Liquid Shipping

- **Led SOX compliance** assessments across the Netherlands, Spain, and Brazil, and U.S. operations

Barings Securities LLC | Investment Management Firm

- **Reengineered client lifecycle**, streamlining onboarding and private equity real estate operations

Franklin Templeton Investments – San Mateo, CA & St. Petersburg, FL**Director of Marketing Operations** | 2011 – 2014

Global head responsible for monthly accuracy and distribution of financial data across **15,000+ pages of marketing collateral** for \$900B investment management firm.

- **Managed 35-person team across 7 countries** with \$12M operating budget; **achieved 20% cost savings while growing headcount by 50%** through strategic reorganization into low-cost COEs
- **Reduced time to market from 5 days to 1 day** for 90% of deliverables
- Designed metrics reporting measuring collateral consumption by product, channel, and region

BlackRock, Inc. – San Francisco, CA**Director of Finance** | 2009 – 2011

Oversaw financial reporting, fund accounting, and regulatory compliance for \$80B portfolio of ETFs.

- **Managed \$6M budget** and team of 25 professionals supporting **215 investment products**
- **Achieved \$1.4M in annual savings** through vendor renegotiation and printing/mailing optimization
- **Managed external auditor coordination**; led SOX DCP assessments achieving **zero audit findings**
- Produced N-CSR, N-PORT, and other SEC filings with **100% on-time compliance**
- Collaborated with Legal, Compliance, and Treasury on fund restructurings and launches

EDUCATION

MBA, Global Management (2002) | BSc, Finance (1991)

TECHNICAL SKILLS & LANGUAGES

ERP/Financial Systems: SAP, Oracle, JD Edwards, Hyperion, MS Dynamics 365, NetSuite, QuickBooks

Reporting / Analytics: Power BI, Tableau, FRX, Advanced Excel (pivot tables, macros, modeling)

Fund Accounting: Sungard (FIS), Advent Geneva, SS&C, eFront

Additional Applications: Salesforce, HubSpot, MS Project, MS Visio, Python (collaborative exposure)

English (native) | **Portuguese** (fluent)

ADVISORY & GOVERNANCE

Family Office Advisory | Board Governance | Cross-Border Operations | Fractional CFO Engagements